

FTHE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Waclaw C. Koscielniak

Appln. No.: 10/634,179

Filed: August 5, 2003

For: PHOTONIC CRYSTALS USING A

SEMICONDUCTOR-BASED FABRICATION PROCESS

(as amended)

Group Art Unit: 2873

Examiner: Unknown

SUPPLEMENTAL PRELIMINARY

<u>AMENDMENT</u>

INTRODUCTORY COMMENTS

Mail Stop Non-Fee Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

This is a Supplemental Preliminary Amendment. Please amend the aboveidentified application as indicated on the following pages.

CERTIFICATE OF MAILING

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Dated Jebruary 10, 2004 By Salui & Kens

PTO/SB/21 (08-03)

Approved for use through 07/31/06. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | | Application Number | 10/634,179 |
|---|--|---|---|
| | | Filing Date | August 5, 2003 |
| | | First Named Inventor | Waclaw C. Koscielniak |
| | | Group Art Unit | 2873 |
| | | Examiner Name | Unknown |
| Total Number of Pages in This Submission 5 | | Attorney Docket Number | 100-15210 (P05000-D01) |
| | ENCLO | OSURES (check all that apply) | |
| Fee Transmittal Form | Assignment Papers (for an Application) | | After Allowance Communication to Group |
| Fee Attached | Drawing(s) | | Appeal Communication to Board of Appeals and Interferences |
| Amendment/Response (Supplemental Preliminary) | Licensing-related Papers | | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| After Final (Response) | Petition Routing Slip (PTO/SB/69) and Accompanying Petition | | Proprietary Information |
| Affidavits/declaration(s) | Petition to Convert to a Provisional Application | | Status Inquiry |
| Extension of Time Request | Power of Attorney, Revocation Change of Correspondence Address | | Other Enclosure(s) (please identify below): |
| Express Abandonment Request | | al Disclaimer st for Refund | Return Receipt Postcard Certificate of Mailing |
| ☐ Information Disclosure Statement | CD, Nu | umber of CD(s) | |
| Certified Copy of Priority Document(s) | | rks | |
| Response to Missing Parts/ Incomplete Application | | | |
| Response to Missing Parts under 37 CFR 1.52 or 1.53 | | | |
| SIGNA | TURE OF A | APPLICANT, ATTORNEY, OF | R AGENT |
| Firm or Mark C. Pickering, Reg. No. 36,239 Individual name | | | |
| Signature Und C. Pul | | | |
| Date February 10, 2004 | | | |
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| Typed or printed name Robin L. King | - 11 | | |
| Signature | | | Date February 10, 2004 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.